

## Chapter 4901:1-36

### Transmission Cost Recovery

- 4901:1-36-01 Definitions.
- 4901:1-36-02 Purpose and scope.
- 4901:1-36-03 Application.  
Appendix
- 4901:1-36-04 Limitations.
- 4901:1-36-05 Hearings.
- 4901:1-36-06 Additional information.

4901:1-36-01      **Definitions.**

- (A) "Application" means an application for a transmission cost recovery rider pursuant to this chapter.
- (B) "Commission" means the public utilities commission of Ohio.
- (C) "Electric utility" shall have the meaning set forth in division (A)(11) of section 4928.01 of the Revised Code.
- (D) "Staff" means the staff of the commission or its authorized representative.

**4901:1-36-02 Purpose and scope.**

- (A) This chapter authorizes an electric utility to recover, through a reconcilable rider on the electric utility's distribution rates, all transmission and transmission-related costs, including ancillary and congestion costs, imposed on or charged to the utility, net of financial transmission rights and other transmission-related revenues credited to the electric utility, by the federal energy regulatory commission or a regional transmission organization, independent transmission operator, or similar organization approved by the federal energy regulatory commission.
- (B) The commission may waive any requirement of Chapter 4901:1-36 of the Administrative Code for good cause shown.

4901:1-36-03      **Application.**

- (A) Each electric utility which seeks recovery of transmission and transmission-related costs shall file an application with the Commission for a transmission cost recovery rider. The initial application shall include all information set forth in the appendix to this rule.
- (B) Each electric utility with an approved transmission cost recovery rider shall update the rider on an annual basis pursuant to a schedule set forth by commission order. Each application to update the transmission cost recovery rider shall include all information set forth in the appendix to this rule.
- (C) The commission may order that consultants be hired, with the costs billed to the electric utility and recoverable through the rider, to conduct prudence and/or financial reviews of the costs incurred and recovered through the transmission cost recovery rider.
- (D) Each annual application to update the transmission cost recovery rider should be made not less than seventy-five days prior to the proposed effective date of the updated rider.
- (E) If at anytime during the period between annual update filings, the electric utility or staff determines that costs are or will be substantially different than the projected amounts included in their previous application, the electric utility should file, on its own initiative or by order of the commission, an interim application to adjust the transmission cost recovery rider in order to avoid excessive carrying costs and to minimize rate impacts for the following update filing.
- (F) Affected parties may file detailed comments on any issues concerning any application filed under this rule within forty days of the date of the filing of the application.

### Appendix to Rule 4901:1-36-03

Schedule I.D.	Schedule Name and Required Data
A-1	<b>Copy of proposed tariff schedules</b>
A-2	<b>Copy of redlined current tariff schedules</b>
B-1	<p><b>Summary of Total Projected Transmission Costs/Revenues</b>            Provide the total forecasted cost/revenue for each cost component.            Include all costs and related revenues, network integration transmission service, ancillary service, regional transmission organization related, and reconciliation adjustment.            Indicate whether each component is energy or demand related</p>
B-2	<p><b>Summary of Current versus Proposed Transmission Revenues</b>            Provide table that includes billing determinants for each class applied to current transmission cost recovery rider rates and proposed transmission cost recovery rider rates, including current and proposed class revenues, and the dollar and percentage difference</p>
B-3	<p><b>Summary of Current and Proposed Rates</b>            For each rate class provide the current transmission cost recovery rider rate and proposed transmission cost recovery rider rate, the dollar difference and percentage change.</p>
B-4	<p><b>Graphs</b>            For each cost/revenue component provide a bar graph of quarterly actual transmission cost recovery rider costs beginning January 06.            Also include the original projected cost for each quarter.            Also include the next period projections on the graph.</p>
B-5	<p><b>Typical Bill Comparisons</b>            Provide a typical bill comparison for each rate schedule affected by the proposed adjustments to the transmission cost recovery rider.</p>
C-1	<p><b>Projected Transmission Cost Recovery Rider Costs/Revenues</b>            For each cost/revenue component include the monthly projected transmission cost recovery rider costs/revenues.</p>
C-2	For each rate schedule provide the monthly projected cost.
C-3	<p>Provide the projected transmission cost recovery rider rate calculations.            Provide all necessary support for the rate calculations, including support for demand and energy allocators.</p>
D-1	<p><b>Reconciliation Adjustment</b>            Provide actual transmission cost recovery rider costs for each component used to calculate reconciliation adjustment.</p>
D-2	Provide monthly revenues collected from each rate schedule.
D-3	Provide monthly over and under recovery.
D-3a...z	<p>Include all additional and necessary schedules for support, including, but not limited to:            *Carrying cost calculation.            *Reconciliation of throughput to Company financial records.            *Reconciliation of one month's bill from RTO to Financial Records of the company</p>

4901:1-36-04      **Limitations.**

- (A) The transmission cost recovery rider costs are reconcilable on an annual basis, with carrying charges to be applied to both over- and under-recovery of costs.
- (B) The transmission cost recovery rider shall be avoidable by all customers who choose alternative generation suppliers and the electric utility no longer bears the responsibility of providing generation and transmission service to the customers.
- (C) The transmission cost recovery rider shall include transmission and transmission-related costs and off-setting revenues, including ancillary and congestion-related costs and revenues, charged or credited to the utility by the federal energy regulatory commission or a regional transmission organization, independent transmission operator, or similar organization approved by the federal energy regulatory commission and such costs and revenues are not included in any other schedule or rider in the electric utility's tariff on file with the commission.

**4901:1-36-05 Hearings.**

Unless otherwise ordered by the commission, the legal director, the deputy legal director, or the attorney examiner, the commission shall approve the application or set the matter for hearing within seventy-five days after the filing of a complete application under this chapter. Proposed rates will become effective on the seventh-fifth day subject to reconciliation adjustments following any hearing, if necessary, or in its subsequent filing.

**4901:1-36-06 Additional information.**

On a biennial basis, the electric utility shall provide additional information detailing the electric utility's policies and procedures for minimizing any costs in the transmission cost recovery rider where the electric utility has control over such costs.