Ohio | Public Utilities Commission

Annual Report to the Commission for Competitive Retail Electric Service

☐ **AGGREGATOR**

GOVERNMENTAL AGGREGATOR

POWER MARKETER

RETAIL ELECTRIC GENERATION PROVIDER

☑ **POWER BROKER**

Certification Number: 12-615E

PUCOID Number: 352290

Annual Report for the Year ending December 31, 2014 of Energy Management Solutions Inc

Address:

684 Excelsior Blvd, Ste 200
Excelsior, MN 55331-1981

Website URL:
www.EMSenergy.com

Filed by:
Gary A. Swanson
(952) 767-7450

Changes: This company did not have any changes in name(s), principal address, legal status, ownership, corporate structure or operations during the 2014 reporting year.

Annual Report filings and instructions are available at:
www.puco.ohio.gov/puco/docketing/

Customer Class	Sales (kWh)	Earnings($)
Residential	0	$0
Commercial	0	$0
Industrial	58,493,545	$58,498
Other	0	$0
Total	**58,493,545**	**$58,498**

Instructions:

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

Submitted Timestamp: 5/13/2015 9:49:03AI

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

Docketing Contact Information

gswanson@emsenergy.com

Email

Gary Swanson | President

Name | Title

684 Excelsior Blvd, Ste. 200 Excelsior, MN 55331

Address

(952) 767-7450

Phone Number (including Area Code)

Fiscal Contact Information

gswanson@emsenergy.com

Email

Gary Swanson | President

Name | Title

684 Excelsior Blvd, Ste. 200 Excelsior, MN 55331

Address

(952) 767-7450

Phone Number (including Area Code)

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Affidavit for the Filing of the Annual Report of a Regulated Entity

REQUIRED VERIFICATION:

The Annual Report of a Regulated Entity to the Commission must be verified by an authorized officer of the Reporting Entity, pursuant to Ohio Revised Code Section 4905.14(A)(1).

State of: Ohio

County of: Franklin

Affiant's Name: Gary A. Swanson

Affiant's Title: President

Reporting Entity: Energy Management Solutions, Inc.

OATH:

The undersigned, being duly sworn, states that s/he is authorized to file the foregoing Annual Report to the Public Utilities Commission of Ohio on behalf of the above-named Reporting Entity; that to the best of her/his knowledge, information, and belief, all statements of fact contained therein, including any supporting schedules, are true; and that said Annual Report is a correct statement of the business and affairs of the Reporting Entity in respect to each and every matter set forth during the reporting period identified therein.

If applicable, the employee, agent, accounting firm or other third party company indicated below is hereby authorized to electronically file said Annual Report on my behalf.

Filer's Name: Gary A. Swanson

Filer's Title: President

Filer's Company: Energy Management Solutions, Inc.

(Signature of Affiant)

Sworn and subscribed before me this 13 day of May, 2015.

Signature of Notary

Print name of Notary: Kelly E. Rogers

My commission expires on: 12/31/2019

KELLY E. ROGERS
NOTARY PUBLIC - MINNESOTA
My Commission Expires Jan. 31, 2019

DECLARATION OF FILER:

The above indicated Filer, if applicable, hereby verifies that the Annual Report being electronically filed with the Public Utilities Commission of Ohio on behalf of the above-named Reporting Entity accurately reflects all statements of fact as authorized by the above-named Affiant.

(Signature of Filer)