



AGGREGATOR

GOVERNMENTAL AGGREGATOR

POWER MARKETER

RETAIL ELECTRIC GENERATION PROVIDER

POWER BROKER

Certification Number: 10-214E

PUCOID Number: 325884

Annual Report for the Year ending December 31, 2014
of
Summit Energy Services Inc

Address:

10350 Ormsby Park Pl, Ste 400
Louisville, KY 40223

Website URL:

www.summitenergy.com

Filed by:

Lindsay Blume
(502) 614-2406

Changes: In January 2015, Summit Energy Services, Inc. (Summit) was ordered by the Commodity Futures Trading Commission (CFTC) to pay a \$140,000 civil monetary penalty for acting as an unregistered Commodity Trading Advisor for a period of time from October 2012 through September 25, 2014. This arose as the result of Summit working with the CFTC to ensure all reporting requirements were met.

Currently, Summit is now registered as a Commodity Trading Advisor (CTA) with the CFTC and are in full compliance with the requirements to provide financial hedging and risk management services to clients, and there will be no impact to operations or customers. Even before the CFTC's inquiry, Summit was working through the requirements to meet these new regulations, including obtaining the necessary certifications to provide financial hedging and risk management services to our clients. This situation has been fully resolved, and does not impact any of our operations or our services, past or future. Furthermore, there are no implications for our clients, their businesses, or their interactions with Summit.

Information regarding this can be found in CFTC Docket No. 15-12

Annual Report filings and instructions are available at:
www.puco.ohio.gov/puco/docketing/

Customer Class	Sales (kWh)	Earnings(\$)
Residential	0	\$0
Commercial	9,417,421	\$22,553
Industrial	54,922,145	\$107,624
Other	0	\$0
Total	64,339,566	\$130,177

Instructions:

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

Docketing Contact Information

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Email

Holly Liter

Director, Sourcing

Name

Title

10350 Ormsby Park Place Louisville, KY 40223

Address

(502) 753-3181

Phone Number (including Area Code)

Fiscal Contact Information

Holly.Liter@ems.schneider-electric.com

Email

Holly Liter

Director, Sourcing

Name

Title

10350 Ormsby Park Place Louisville, KY 40223

Address

(502) 753-3181

Phone Number (including Area Code)



Public Utilities Commission

Affidavit for the Filing of the Annual Report of a Regulated Entity

REQUIRED VERIFICATION:

The Annual Report of a Regulated Entity to the Commission must be verified by an authorized officer of the Reporting Entity, pursuant to Ohio Revised Code Section 4905.14(A)(1).

State of: Kentucky
County of: Jefferson

Affiant's Name: Ron Taglieri
Affiant's Title: Vice President - Americas Operations
Reporting Entity: Summit Energy Services, Inc

OATH:

The undersigned, being duly sworn, states that s/he is authorized to file the foregoing Annual Report to the Public Utilities Commission of Ohio on behalf of the above-named Reporting Entity; that to the best of her/his knowledge, information, and belief, all statements of fact contained therein, including any supporting schedules, are true; and that said Annual Report is a correct statement of the business and affairs of the Reporting Entity in respect to each and every matter set forth during the reporting period identified therein.

If applicable, the employee, agent, accounting firm or other third party company indicated below is hereby authorized to electronically file said Annual Report on my behalf.

Filer's Name: Lindsay Blume
Filer's Title: Energy Buyer
Filer's Company: Summit Energy Services, Inc

[Handwritten Signature]
(Signature of Affiant)

Sworn and subscribed before me this 25th day of March, 2015.

[Handwritten Signature: Mary Beth Baker]
Signature of Notary
Print name of Notary: Mary Beth Baker
My commission expires on: JUNE 16, 2016

DECLARATION OF FILER:

The above indicated Filer, if applicable, hereby verifies that the Annual Report being electronically filed with the Public Utilities Commission of Ohio on behalf of the above-named Reporting Entity accurately reflects all statements of fact as authorized by the above-named Affiant.

[Handwritten Signature: Lindsay Blume]
(Signature of Filer)

