Duke Energy®
Retail Sales

DUKE ENERGY RETAIL SALES, LLC
221 East Fourth St.
Cincinnati, OH 45202

August 22, 2012

Ms. Barcy F. McNeal, Secretary
Public Utilities Commission of Ohio
180 East Broad Street, 11th Floor
Columbus, OH 43215-3716

Re: Case No. 12-003-GE-RPT

Dear Ms. McNeal,

Please find enclosed the Annual Reports of Duke Energy Retail Sales, LLC, as a Competitive Retail Electric Service provider and a Competitive Retail Natural Gas Service provider. These reports are being re-filed as requested, in order to provide the Commission with more legible copies.

Should there be any questions, please contact me.

Sincerely,

Jeanne W. Kingery
Associate General Counsel
155 East Broad St
21st Floor
Columbus, OH 43215

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Public Utilities Commission Ohio Annual Reports

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Step 2: Identity of Respondent
Step 3: Intrastate Gross Earnings
Step 4: Contact Persons
Step 5: Verification and Oath

Duke Energy Retail Sales, LLC

Cover Page

For the provision of (check all that apply):

- Aggregator ☐
- Governmental Aggregator ☐
- Power Marketer ☐
- Retail Electric Generation Provider ☑
- Power Broker ☐

Principal Place of Business:

Legal Name Duke Energy Retail Sales, LLC
Website URL http://retail.duke-energy.com
Address 139 East Fourth Street
City Cincinnati
County Hamilton
State Ohio
Zip Code 45202
Phone '(999) 9999-9999' (513) 287-2725
Address of Principal Business Office at end of year 139 East Fourth Street Cincinnati,OH 45202

Filer:

Name John Finnigan
Title Account Executive
Address 139 East Fourth Street
Email john.finnigan@duke-energy.com
Phone '(999) 9999-9999' (513) 287-2725
Fax (513) 287-2706

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Duke Energy Retail Sales, LLC

Identity of Respondent

1. State whether respondent is a corporation, a joint stock association, a firm or partnership, or an individual.	Limited liability corporation
2. Identify names of affiliate and subsidiary companies of the respondent.	Duke Energy Retail Sales, LLC is an indirect subsidiary of Duke Energy Corporation and is also an affiliate of Duke Energy Ohio, Inc.
3. Date 'mm/dd/yyyy' when respondent began operations in Ohio.	10/7/2004
4. If a consolidated or merged company, give names of each such incident, date, and Commission authority. If a reorganized company, give name of original corporation.	Duke Energy Retail Sales, LLC was formerly known as Cinergy Retail Sales, LLC. Duke Energy Retail Sales, LLC notified the
5. If incorporated specify:	
a. Date 'mm/dd/yyyy' of filing of articles of incorporation.	12/9/2003
b. State in which incorporated.	Delaware
6. Ohio certificate number, case number granting authority and date issued.	Certificate No. 04-124E(4) Case No. 04-1323-EL-CRS Issued on 12/3/2010
7. State whether or not the respondent during the year conducted any part of its business under a name or names other than that shown on Title Page. If so, give full particulars.	No
8. Description of Ohio service territory served by respondent.	All of Ohio

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Duke Energy Retail Sales, LLC

Statement of Intrastate Gross Receipts and kWh Sales*

NOTE: Please enter whole numbers without dollar signs or commas

Sales:

Residential (kWh)	1177513
Commercial (kWh)	3610671
Industrial (kWh)	3841102
Other (kWh)	805891
TOTAL SALES (kWh)	**9435177**

Earnings:

Residential ($)	$82,190,935
Commercial ($)	$214,491,650
Industrial ($)	$179,749,671
Other ($)	$43,298,326
TOTAL EARNINGS ($)	**$519,730,582**

** Instructions: This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer. The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.*

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Duke Energy Retail Sales, LLC

Contact Persons

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE/INVOICES IS/ARE DIRECTED TO THE APPROPRIATE PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

Name, Title, E-mail, Address, and Phone Number of the Company's Contact Person

Name John Finnigan
Title Account Executive
Email john.finnigan@duke-energy.com
Address 139 East Fourth Street
Cincinnati, Ohio 45202
Phone Number '(999) 9999-9999' (513) 287-2725

Name, Title, E-mail, Address, and Phone Number of Person to whom Invoice should be Directed

Name Mark Chastain
Title Manager, Accounting
Email mark.chastain@duke-energy.com
Address 139 East Fourth Street
Cincinnati, Ohio 45202
Phone Number '(999) 9999-9999' (513) 287-2563

Name and Address of the President

President's Name Charles R Whitlock, President
President's Address 139 East Fourth Street
Cincinnati, Ohio 45202

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VERIFICATION

The foregoing report must be verified by the President or Chief Officer of the company.

OATH

State of Ohio
County of Hamilton

makes oath and says that Matthew M. Walz

s/he is VP Marketing

of Duke Energy Retail Sales, LLC

that s/he has examined the foregoing report; that to the best of her/his knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including January 1, 2011 to and including December 31, 2011.

(Signature of affiant.)

Sworn and subscribed before me this 27th day of April, 2012 Month/Year

Signature of notary

My commission expires on

JOHN J. FINNIGAN, JR. Attorney at Law
NOTARY PUBLIC, STATE OF OHIO
My commission has no expiration date. Section 147.03 O.R.C.

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Cover Page

For the provision of (check all that apply):

- Retail Natural Gas Supplier ☐
- Retail Natural Gas Marketer ☑
- Retail Natural Gas Broker ☐
- Retail Natural Gas Aggregator ☐
- Other ☐

Other Description

Principal Place of Business:

Legal Name Duke Energy Retail Sales, LLC
Website URL http://retail.duke-energy.com
Address 139 East Fourth Street
City Cincinnati
County Hamilton
State Ohio
Zip Code 45202
Phone '(999) 9999-9999' (513) 287-2725
Address of Principal Business Office at end of year 139 East Fourth Street Cincinnati, OH 45202

Filer:

Name John Finnigan
Title Account Executive
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Email john.finnigan@duke-energy.com
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Identity of Respondent

1. Identify respondent's form of business organization: sole proprietorship, partnership, corporation, or other (explain).

Limited liability corporation

2. Identify any other names (other than shown on title page) under which respondent conducted any part of its business during the year. Provide full particulars.

Duke Energy Retail Sales, LLC is an indirect subsidiary of Duke Energy Corporation and is also an affiliate of Duke Energy Ohio, Inc. Duke

3. Identify PUCO Case Number (and date issued 'mm/dd/yyyy') granting authority to operate as a CRNGS provider.

Case No. 10-0880-GA-CRS
10-192G(1) - 07/27/2010
10-193G(1) - 09/14/2010

4. Check all service territories in Ohio served by respondent:

- Columbia Gas of Ohio ☐
- Dominion East Ohio ☐
- Duke Energy Ohio ☑
- Vectren Energy Delivery of Ohio ☐
- Other ☐

Other Description

5. Website URL.

http://retail.duke-energy.com

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Statement of Intrastate Gross Earning *

NOTE: Please enter whole numbers without dollar signs or commas

Choice Program Sales:

Retail Sales (Mcf)	23321
Aggregation Sales (Mcf)	0
TOTAL SALES (Mcf)	23321

Choice Program Revenues:

Retail Revenues ($)	$116,990
Aggregation Revenues ($)	$0
TOTAL REVENUES ($)	$116,990

** The information reported on this form should refer only to those sales and revenues for which certification pursuant to Section 4929.20, Revised Code, is required. Natural gas Standard Choice Offer (SCO) providers should include such SCO sales and revenues as part of Choice Program Retail Sales.*

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Contact Persons

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Title Account Executive
Email john.finnigan@duke-energy.com
Address 139 East Fourth Street
Cincinnati, Ohio 45202
Phone Number '(999) 9999-9999' (513) 287-2725

Name, Title, E-mail, Address, and Phone Number of Person to whom Invoice should be Directed

Name Mark Chastain
Title Manager, Accounting
Email mark.chastain@duke-energy.com
Address 139 East Fourth Street
Cincinnati, Ohio 45202
Phone Number '(999) 9999-9999' (513) 287-2563

Name and Address of the President

President's Name Charles R Whitlock, President
President's Address 139 East Fourth Street
Cincinnati, Ohio 45202

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VERIFICATION

The foregoing report must be verified by the President or Chief Officer of the company.

OATH

State of Ohio
County of Hamilton

Matthew M. Walz makes oath and says that

s/he is VP Marketing

of Duke Energy Retail Sales, LLC

that s/he has examined the foregoing report; that to the best of her/his knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including January 1, 2011 to and including December 31, 2011.

(Signature of affiant.)

Sworn and subscribed before me this 27th day of April, 2012 Month/Year

Signature of notary

My commission expires on

JOHN J. PINNIGAN, JR. Attorney at Law
NOTARY PUBLIC, STATE OF OHIO
My commission has no expiration
date. Section 147.03 O.R.C.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

8/22/2012 12:30:44 PM

in

Case No(s). 12-0003-GE-RPT

Summary: Annual Report Annual Report of Duke Energy Retail Sales, LLC electronically filed by Carys Cochern on behalf of Kingery, Jeanne W Ms.