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# COMPETITIVE RETAIL ELECTRIC SERVICE

(check all that apply):

- AGGREGATOR
- GOVERNMENTAL AGGREGATOR
- POWER MARKETER
- RETAIL ELECTRIC GENERATION PROVIDER
- POWER BROKER

## INTRASTATE ANNUAL REPORT

OF

Duke Energy Retail Sales, LLC (formerly known as Cinergy Retail Sales, LLC)  
Certificate No. 04-124 (2)  
Case No. 04-1323-EL-CRS

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(Exact legal name of respondent)  
Duke Energy Retail Sales, LLC

If name was changed during the year, show also the  
previous name and date of change.

139 East Fourth Street, EA 600, Cincinnati, OH 45202

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(Address of principal business office at end of year)

TO THE  
PUBLIC UTILITIES COMMISSION OF OHIO



FOR THE  
YEAR ENDED DECEMBER 31, 2007

Name, title, address and telephone number (including area code) of the person to be contacted concerning this report.

Tracy Li  
Manager, Portfolio Analysis  
139 East Fourth Street, EA600, Cincinnati, OH 45202  
(513) 419-5447

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## IDENTITY OF RESPONDENT

1. State whether respondent is a corporation, a joint stock association, a firm or partnership, or an individual.

Respondent is a limited liability company.

2. Identify names of affiliate and subsidiary companies of the respondent.

Respondent is a wholly owned subsidiary of Cinergy Capital & Trading, Inc. Cinergy Capital & Trading, Inc is a wholly owned subsidiary of Cinergy Investments, Inc. Cinergy Investments, Inc. is a wholly owned subsidiary of Cinergy Corp. Duke Energy Corporation owns 100% of the shares of Cinergy Corp. Respondent has no subsidiaries but has numerous affiliated companies.

Attached as Exhibit 1 is a list of Respondent's affiliated companies supplying gas and/or electric at wholesale and/or retail in North America. To the extent more is required, Respondent seeks waiver.

3. Date when respondent began operations in Ohio.

Respondent completed re-certification on October 3, 2006. However, respondent does not currently serve any customers.

4. If a consolidated or merged company, give names of each such incident, date, and Commission authority. If a reorganized company, give name of original corporation.

Not Applicable.

5. If incorporated specify (a) Date of filing articles of incorporation,  
(b) State in which incorporated,

Respondent is Delaware limited liability company, which was formed with the filing of its articles of organization on December 9, 2003.

6. Ohio certificate number, case number granting authority and date issued.

Certificate No.: 04-124 (2)  
Case No.: 04-1323-EL-CRS

Issued: September 23, 2006

7. State whether or not the respondent during the year conducted any part of its business under a name or names other than that shown on Title Page. If so, give full particulars.

No.

8. Description of Ohio service territory served by respondent.

Respondent is currently certified in Duke Energy Ohio, Inc. service territory.

## IMPORTANT CHANGES DURING THE YEAR

Report important changes of the types listed. Except as otherwise indicated, data furnished should apply to the same period the report covers. Answers should be numbered in accordance with the inquiries and if "none" states the fact, it should be used. If information, which answers an inquiry, is given elsewhere in the report, identification of the other answer will be sufficient.

1. Changes in ownership or control (shareholders holding 5% or more of outstanding stock).

None.

2. Other important changes: Give brief particulars of each other important change, which is not disclosed elsewhere in this report.

None.

\*Please note any material changes that occurred during the year are also required to be filed in the respective CRES case docket (EL-CRS, EL-AGG, EL-GAG) pursuant to CRES Rule 4901:1-24-20.

**STATEMENT OF INTRASTATE  
GROSS RECEIPTS AND KWH SALES**

<b>Customer Class</b>	<b>Sales (kWh)</b>	<b>Earnings(\$)</b>
Residential	0	\$0
Commercial	0	\$0
Industrial	0	\$0
Other	0	\$0
<b>Total</b>	0	\$0

**Instructions:**

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

**COMPANY CONTACTS**

**IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.**

**Name, Title, Address, and Phone Number of the Company's Contact Persons  
To Receive Entries and Orders from the Docketing Division**

<u>Tracy Li</u> Name	<u>Manager, Portfolio Analysis</u> Title
<u>139 East Fourth Street, EA 600, Cincinnati, OH 45202</u> Address	
<u>(513) 419-5447</u> Phone Number (Including Area Code)	
<u>tracy.li@duke-energy.com</u> E-Mail Address	

**Name, Title, Address, and Phone Number of Person to whom Invoice  
Should be directed**

<u>Tracy Li</u> Name	<u>Manager, Portfolio Analysis</u> Title
<u>139 East Fourth Street, EA 600, Cincinnati, OH 45202</u> Address	
<u>(513) 419-5447</u> Phone Number (Including Area Code)	

**Name and Address of the President**

<u>Charles R. Whitlock</u> Name	<u>President</u> Title
<u>139 East Fourth Street, EA 600, Cincinnati, OH 45202</u> Address	

**Exhibit 1**

**Affiliated Companies of Duke Energy Retail Sales, LLC Supplying Gas and/or  
Electric at Wholesale and/or Retail in North America in 2007**

Brownsville Power I, LLC  
CinCap IV, LLC  
CinCap V, LLC  
Cinergy Capital & Trading, Inc.  
Duke Energy Carolinas, LLC  
Duke Energy Indiana, Inc.  
Duke Energy Industrial Sales, LLC  
Duke Energy Kentucky, Inc.  
Duke Energy Marketing America, LLC  
Duke Energy Ohio, Inc.  
Duke Energy Trading and Marketing, L.L.C.  
KO Transmission Company  
Miami Power Corporation  
Ohio Valley Electric Corporation  
South Houston Green Power, L.P.  
St. Paul Cogeneration, LLC  
SUEZ-DEGS of Ashtabula LLC  
SUEZ-DEGS of Rochester LLC  
SUEZ-DEGS of Silver Grove LLC  
SUEZ-DEGS of Tuscola, LLC

**VERIFICATION**

The President or Chief Officer of the company must verify the foregoing report. The oath required may be taken before any person authorized to administer an oath by the laws of the State in which the same is taken.

**OATH**

State of Ohio

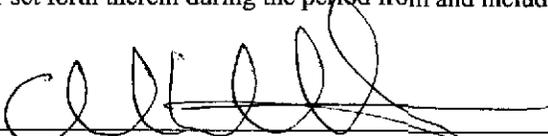
County of Hamilton

Charles R. Whitlock makes oath and says that  
(Insert here the name of the affiant)

he is President  
(Insert here the official title of deponent)

of Duke Energy Retail Sales, LLC  
(Insert here the exact legal title or name of the respondent)

that he has examined the foregoing report; that to the best of his knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including January 1, 2007, to and including December 31, 2007.

  
(Signature of affiant)



DUKE ENERGY CORPORATION  
139 East Fourth Street  
Cincinnati, OH 45202

**VIA OVERNIGHT DELIVERY**

April 08, 2008

Public Utilities Commission of Ohio  
Docketing Division, 13<sup>th</sup> Floor  
180 East Broad Street  
Columbus, OH 43215-3793

Dear Sir or Madam:

Enclosed please find one original and ten copies of the Intrastate Annual Report of Duke Energy Retail Sales, LLC ("DERS"). Please date stamp the extra copy and return in the self addressed stamped envelope provided. DERS is a Competitive Retail Electric Supplier submitting this Annual Report pursuant to ORC Section 4928.06. DERS concurrently is submitting this Annual Report to the Ohio Consumers' Counsel.

Sincerely,

Tracy Li  
Manager, Portfolio Analysis  
(513) 419-5447

Enclosure

cc: Ohio Consumer's Counsel  
State of Ohio  
10 West Broad Street, Suite 1800  
Columbus, OH 43215-3485

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